

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the **PATENT APPLICATION** of:

Painta et al.

**Application No.:** Not Yet Known

**Filed:** Not Yet Known

**For:** TRACTION MECHANISM DRIVE, IN  
PARTICULAR A BELT DRIVE

**Group:** Not Yet Known

**Examiner:** Not Yet Known

Our File: INA-PT187

(4364-18US)

Date: September 12, 2006

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Further to Applicants' Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicants wish to bring to the Examiner's attention the material cited on the enclosed PTO-1449 form. Copies of the cited documents are enclosed.

Pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included.

The references cited in this Information Disclosure Statement were either listed in an International Search Report in the corresponding International Application or cited in the German Search Report from the original priority application, copies enclosed.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.T.R

**Applicant:** Painta et al.  
**Application No.:** Not Yet Known

Of the non-English language references cited in the International Search Report, DE 100 57 818, in particular paragraphs 21-23 and Figure 1, was cited as Category Y with respect to claims 1-4. DE 109 11 188, in particular claim 1 and Figure 1, was cited as Category Y with respect to claims 1-4. DE 39 09 897 and EP 1 236 931, were both cited as Category A (technological background).

Of the non-English reference language references cited in the German Search Report, DE 102 53 495, the entire document, was cited as Category X with respect to claims 1 and 2. DE 692 06 888, in particular Figures 1-4, was cited as Category X with respect to claim 1. DE 101 53 329; DE 101 46 612; DE 100 57 818 and JP 2004 0 52 964, were all cited as Category A (defining the state of the art, technological background). It is noted that only the English language abstract of JP 2004 0 52 964 was cited.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form indicating his consideration of the cited materials.

Respectfully submitted,

Painta et al.

By\_\_\_\_/Randolph J. Huis/\_\_\_\_\_  
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 RJH/dmm

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Painta et al.	
Art Unit		
Examiner Name		
Attorney Docket Number		

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	1	39 09 897	DE		1990-04-19	Pater Piet		<input type="checkbox"/>
	2	195 11 188	DE		1996-04-25	Wagner et al.		<input type="checkbox"/>
	3	692 06 888	DE		1996-05-23	Bytzek et al.		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10598806 - GAU: 3657	
	Filing Date			
	First Named Inventor	Painta et al.		
	Art Unit			
	Examiner Name			
	Attorney Docket Number			

	4	1 236 931	EP		2002-02-02	Michael Uwe		<input type="checkbox"/>
	5	100 57 818	DE		2002-05-23	Bonkowski et al.		<input type="checkbox"/>
	6	101 53 329	DE		2002-06-13	Kitamura et al.		<input type="checkbox"/>
	7	101 46 612	DE		2003-04-10	Bogner et al.		<input type="checkbox"/>
	8	102 53 495	DE		2003-09-11	Uwe et al.		<input type="checkbox"/>
	9	2004052964	JP		2004-09-02	Fujiwara et al.		<input type="checkbox"/>

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#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
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#### EXAMINER SIGNATURE

Examiner Signature	/Robert T. Reese/	Date Considered	10/20/2008
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10598806 - GAU: 3657
	Filing Date		
	First Named Inventor	Painta et al.	
	Art Unit		
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10598806 - GAU: 3657
	Filing Date		
	First Named Inventor	Painta et al.	
	Art Unit		
	Examiner Name		
	Attorney Docket Number		

### CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Randolph J. Huis/	Date (YYYY-MM-DD)	2006-09-12
Name/Print	Randolph J. Huis	Registration Number	34626

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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